UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/576,453	04/20/2006	Frank Sieckmann	20793/0204525-US0	4116	
	7590 05/25/201 Γ AND MAYER	0	20793/0204525-US0 4116  EXAMINER  NGUYEN, HUNG D  ART UNIT PAPER NUMBER  3742  MAIL DATE DELIVERY MODE	INER	
TWO PRUDEN	VTIAL PLAZA, SUITE FETSON AVENUE	A, SUITE 4900 NGUYEN, HUNG D			
CHICAGO, IL			ART UNIT	PAPER NUMBER	
			3742		
			MAIL DATE	DELIVERY MODE	
			05/25/2010	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)	
	10/576,453	SIECKMANN ET AL.	
Office Action Summary	Examiner	Art Unit	
	HUNG NGUYEN	3742	
The MAILING DATE of this communication a Period for Reply	appears on the cover sheet w	ith the correspondence address	S
A SHORTENED STATUTORY PERIOD FOR REF WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory peri  - Failure to reply within the set or extended period for reply will, by sta Any reply received by the Office later than three months after the ma earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUN 1.136(a). In no event, however, may a od will apply and will expire SIX (6) MO tute, cause the application to become A	ICATION. reply be timely filed  NTHS from the mailing date of this commun BANDONED (35 U.S.C. § 133).	
Status			
1) ■ Responsive to communication(s) filed on 18 2a) ■ This action is FINAL. 2b) ■ This action is FINAL. 2b) ■ This action is application is in condition for allow closed in accordance with the practice under the condition of the condition is in condition.	his action is non-final. vance except for formal mat		its is
Disposition of Claims			
4) ☐ Claim(s) 25-50 is/are pending in the applica 4a) Of the above claim(s) is/are withd 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 25-50 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and	rawn from consideration.		
Application Papers			
9) ☐ The specification is objected to by the Examination 10) ☑ The drawing(s) filed on 20 April 2006 is/are:  Applicant may not request that any objection to the Replacement drawing sheet(s) including the corrupt 11) ☐ The oath or declaration is objected to by the	a)⊠ accepted or b)⊡ objection he drawing(s) be held in abeyatection is required if the drawing	nce. See 37 CFR 1.85(a). g(s) is objected to. See 37 CFR 1.1	` '
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for forei a) All b) Some * c) None of:  1. Certified copies of the priority docume 2. Certified copies of the priority docume 3. Copies of the certified copies of the priority docume application from the International Bure * See the attached detailed Office action for a least to the priority document to th	ents have been received. ents have been received in a riority documents have been eau (PCT Rule 17.2(a)).	Application No n received in this National Stag	e
Attachment(s) 1) ☑ Notice of References Cited (PTO-892)	4) ☐ Interview	Summary (PTO-413)	
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	Paper No	(s)/Mail Date Informal Patent Application	

Art Unit: 3742

## **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 25-26, 29, 45 and 47 are rejected under 35 U.S.C. 102(b) as being anticipated by Schutze et al. (US Pat. 5,998,129) (cited by applicant).
- 3. Regarding claim 25, Schutze et al. discloses a method for laser microdissection comprising: capturing an electronic image of at least one image detail of a specimen (Col. 7, Lines 4-7); processing the at least one image detail using image analysis so as to automatically ascertain at least one object to be cut out (Col. 7, Line 8-13); automatically calculating a contour of the at least one object (Col. 7. Lines 10-13, "travels automatically under the control of a computer program in accordance with a predetermined patterned in essentially circulation or a spiral shape around the chosen object 10" inherently the same as automatically calculating a contour of the at least one object); automatically defining, based on the calculated contour, a nominal cutting line around the at least one object to be cut out (Col. 7, Line 13-15); and subsequently cutting out the at least one object in response to a relative motion between a laser beam and the specimen (Col. 7, Lines 16-21).

Art Unit: 3742

4. Regarding claim 26, Schutze et al. further discloses a step of preparing the electronic image for the processing using a contrasting method based on camera (Col. 6, Lines 41-46).

- 5. Regarding claim 29, Schutze et al. further discloses the electronic image is either a grayscale image or a color image (Col. 7, Line 5-7).
- 6. Regarding claim 45, Schutze et al. further discloses a defined clearance distance in the specimen so as to prevent a neighboring object from being sliced through (Col. 7, Lines 13-20).
- 7. Regarding claim 47, Schutze et al. further discloses superimposing, by an imaging device, the nominal cutting line onto the electronic image so as to control results of the cutting out (Col. 6, Lines 29-64).

## Claim Rejections - 35 USC § 103

- 8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 9. Claims 25-26, 29, 45 and 47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schutze et al. (US Pat. 5,998,129) (hereinafter Schutze'129) in view of Schutze (US Pub. 2004/0252291) (hereinafter Schutze'291) (newly cited).
- 10. Regarding claim 25, Schutze'129 discloses a method for laser microdissection comprising: capturing an electronic image of at least one image detail of a specimen

Art Unit: 3742

(Col. 7, Lines 4-7); processing the at least one image detail using image analysis so as to automatically ascertain at least one object to be cut out (Col. 7, Line 8-13); automatically defining, based on the calculated contour, a nominal cutting line around the at least one object to be cut out (Col. 7, Line 13-15); and subsequently cutting out the at least one object in response to a relative motion between a laser beam and the specimen (Col. 7, Lines 16-21). Schutze'129 does not discloses automatically calculating a contour of the at least one object. Schutze'291 discloses automatically calculating a contour of the at least one object (Par. 15 and 42). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to utilize in Schutze'129, automatically calculating a contour of the at least one object, as taught by Schutze'291, for the purpose of automatic surface area calculation after the object is scanned.

- 11. Regarding claim 26, Schutze'129 further discloses a step of preparing the electronic image for the processing using a contrasting method based on camera (Col. 6, Lines 41-46).
- 12. Regarding claim 29, Schutze'129 further discloses the electronic image is either a grayscale image or a color image (Col. 7, Line 5-7).
- 13. Regarding claim 45, Schutze'129 further discloses a defined clearance distance in the specimen so as to prevent a neighboring object from being sliced through (Col. 7, Lines 13-20).

Art Unit: 3742

14. Regarding claim 47, Schutze'129 further discloses superimposing, by an imaging device, the nominal cutting line onto the electronic image so as to control results of the cutting out (Col. 6, Lines 29-64).

- 15. Claims 27 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schutze et al. (US Pat. 5,998,129) in view of Schutze (US Pub. 2004/0252291) and further view of Schachter et al. (XP-0002269476) (cited by applicant).
- Regarding claim 27, Schutze'129/ Schutze'291 disclose substantially all features 16. of the claimed invention as set forth above except for the processing the image detail is performed using a segmenting of the electronic image: defining a grayscale threshold value on the basis of the electronic image; and converting, by making a comparison with the grayscale value threshold, the electronic image to a binary image including only the at least one object segmented. Schachter et al. discloses a processing the image detail is performed using a segmenting of the electronic image: defining a grayscale threshold value on the basis of the electronic image (Page 19; Left column, Par. 2) and converting, by making a comparison with the grayscale value threshold (Page 19; Left column, Par. 3), the electronic image to a binary image including only the at least one object segmented (Page 24; Left column, Par. 2). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to utilize in Schutze'129/Schutze'291, the processing the image detail is performed using a segmenting of the electronic image: defining a grayscale threshold value on the basis of the electronic image; and converting, by making a comparison with the grayscale value threshold, the electronic image to a binary image including only the at least one object

Art Unit: 3742

segmented, as taught by Schachter et al., for the purpose of segmenting an object in an image by gray level threshold.

- 17. Regarding claim 28, Schutze'129/Schutze291 disclose substantially all features of the claimed invention as set forth above **except** for the defining a grayscale threshold value is performed by manually setting the threshold value. Schachter et al. discloses a defining a grayscale threshold value is performed by manually setting the threshold value (Page 19, Right column, Par. 4 to Page 20, Left column, Par. 2).
- 18. Claims 30-37 and 48-49 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schutze et al. (US Pat. 5,998,129) in view of Schutze (US Pub. 2004/0252291), Schachter et al. (XP-0002269476) and further view of Mengel (DE 19636074) (cited by applicant).
- 19. Regarding claim 30, Schutze'129/Schutze'291/Schachter disclose substantially all features of the claimed invention as set forth above **except** for the processing the image detail includes: defining specific classification features characterizing the at least one object so as to ascertain the at least one object; classifying the at least one object using image analysis by determining from the image actually existing object features of the at least one object segmented and comparing the existing object features to the defined specific classification features. Mengel discloses a processing the image detail includes: defining specific classification features characterizing the at least one object so as to ascertain the at least one object (Page 1, Par. 6; English translation); classifying the at least one object using image analysis by determining from the image actually existing object features of the at least one object segmented and comparing the

Art Unit: 3742

existing object features to the defined specific classification features (Page 1, Par. 10; English translation). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to utilize in Schutze'129/Schutze'291/Schachter, the processing the image detail includes: defining specific classification features characterizing the at least one object so as to ascertain the at least one object; classifying the at least one object using image analysis by determining from the image actually existing object features of the at least one object segmented and comparing the existing object features to the defined specific classification features, as taught by Mengel, for the purpose of classifying parts which can be examined on the basis captured images.

- 20. Regarding claim 31, Schutze'129/Schutze'291/Schachter disclose substantially all features of the claimed invention as set forth above **except** for the comparing is performed so as to determine whether the actually existing object features conform with the defined specific classification features. Mengel discloses comparing is performed so as to determine whether the actually existing object features conform with the defined specific classification features (Page 2, Par. 1; English translation).
- 21. Regarding claim 32, Schutze'129/Schutze'291/Schachter disclose substantially all features of the claimed invention as set forth above **except** for the defining specific classification features includes defining, in each instance for different object types, individual feature data records including the specific classification features. Mengel discloses a defining specific classification features includes defining, in each instance

for different object types, individual feature data records including the specific classification features (Page 2, Par. 14; English translation).

- 22. Regarding claim 33, Schutze'129/Schutze'291/Schachter disclose substantially all features of the claimed invention as set forth above **except** for the defining specific classification features is performed automatically or manually in a learning process including inputting the classification features interactively or automatically by suitably marking the at least one object. Mengel discloses the defining specific classification features is performed automatically or manually in a learning process including inputting the classification features interactively or automatically by suitably marking the at least one object (Page 2, Par. 10; English translation).
- 23. Regarding claim 34, Schutze'129 further discloses the marking is performed using a mouse click (Col. 7, Line 10).
- 24. Regarding claim 35, Schutze'129 further discloses the automatically defining the nominal cutting line is performed so as to exclude unclassified objects (Col. 7, Lines 13-26).
- 25. Regarding claim 36, Schutze'129/Schutze'291/Schachter disclose substantially all features of the claimed invention as set forth above **except** for the defining specific classification features includes defining a range of values for at least one of the specific classification features. Mengel discloses defining specific classification features includes defining a range of values for at least one of the specific classification features (Page 2; Par. 16-18; English translation).

Art Unit: 3742

26. Regarding claim 37, Schutze'129/Schutze'291/Schachter disclose substantially all features of the claimed invention as set forth above **except** for the excluding from the nominal cutting line objects, identified by the comparing the existing object features to the defined specific classification features, that border on an edge of the image detail or that are only partially visible in the image detail. Mengel discloses an excluding from the nominal cutting line objects, identified by the comparing the existing object features to the defined specific classification features, that border on an edge of the image detail

or that are only partially visible in the image detail (Page 3, Par. 1; English translation).

- 27. Regarding claims 48 and 49, Schutze'129/Schutze'291/Schachter disclose substantially all features of the claimed invention as set forth above **except** for the applying a mathematical transformation so as to automatically map the nominal cutting line onto a laser cutting line; and scaling the laser cutting line as a function of the image magnification. Mengel discloses applying a mathematical transformation so as to automatically map the nominal cutting line onto a laser cutting line; and scaling the laser cutting line as a function of the image magnification (Page 2, Par. 16; English translation).
- 28. Claims 38, 46 and 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schutze et al. (US Pat. 5,998,129) in view of Schutze (US Pub. 2004/0252291) and further view of Bova (US Pub. 2002/0025511) (previously cited).
- 29. Regarding claim 38 and 46, Schutze'129/Schutze'291 disclose substantially all features of the claimed invention as set forth above **except** for at least one object includes a plurality of objects disposed in close proximity to one another, and further

Art Unit: 3742

comprising combining the plurality of objects into a cluster, and wherein the automatically defining a nominal cutting line is performed so as to define a single shared nominal cutting line surrounding the cluster. Bova discloses at least one object 37 (Fig. 3) includes a plurality of objects 40, 41 (Fig. 3) disposed in close proximity to one another, and further comprising combining the plurality of objects into a cluster (Refer to Fig. 3), and wherein the automatically defining a nominal cutting line is performed so as to define a single shared nominal cutting line surrounding the cluster (Par. 61-69). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to utilize in Schutze'129/Schutze'291, at least one object includes a plurality of objects disposed in close proximity to one another, and further comprising combining the plurality of objects into a cluster, and wherein the automatically defining a nominal cutting line is performed so as to define a single shared nominal cutting line surrounding the cluster, as taught by Bova, for the purpose of combining plurality object to one cluster and remove it one cutting.

- 30. Regarding claim 50, Schutze'129/Schutze'291 disclose substantially all features of the claimed invention as set forth above **except** for modifying the nominal cutting line so as to compensate for imprecise repositioning of the microscope stage. Bova discloses modifying the nominal cutting line so as to compensate for imprecise repositioning of the microscope stage (Par. 77).
- 31. Claims 39-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schutze et al. (US Pat. 5,998,129) in view of Schutze (US Pub. 2004/0252291) and further view of Ganser (US Pub. 2002/0048747) (previously cited).

Page 11

Art Unit: 3742

- 32. Regarding claim 39, Schutze'129/Schutze'291 disclose substantially all features of the claimed invention as set forth above **except** for applying a mathematical transformation so as to automatically map the nominal cutting line onto a laser cutting line; and converting the laser cutting line into the relative motion between the laser beam and the specimen so as to provide a laser cut. Ganser discloses applying a mathematical transformation so as to automatically map the nominal cutting line onto a laser cutting line; and converting the laser cutting line into the relative motion between the laser beam and the specimen so as to provide a laser cut (Par. 42-44). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to utilize in Schutze'129/Schutze'291, applying a mathematical transformation so as to automatically map the nominal cutting line onto a laser cutting line; and converting the laser cutting line into the relative motion between the laser beam and the specimen so as to provide a laser cut, as taught by Ganser, for the purpose of mapping the image to the cutting onto the laser to perform a cut.
- 33. Regarding claim 40, Schutze'129/Schutze'291 disclose substantially all features of the claimed invention as set forth above **except** for initiating, by a user or automatically, the relative motion between the laser beam and the specimen. Ganser discloses an initiating, by a user or automatically, the relative motion between the laser beam and the specimen (Par. 44).
- 34. Claims 41-42 is rejected under 35 U.S.C. 103(a) as being unpatentable over Schutze et al. (US Pat. 5,998,129), Schutze (US Pub. 2004/0252291), Ganser (US

Art Unit: 3742

Pub. 2002/0048747 and further view of Saund (US Pat. 6,377,710) (previously cited).

- 35. Regarding claim 41, Schutze'129/Schutze'291/Ganser disclose substantially all features of the claimed invention as set forth above including from Schutze'129, the automatically calculating includes determining the outer contour of the at least one object using image analysis (Col. 7, Lines 9-13) and from Ganser, the contour 25, 26, 27 and 28 (Fig. 2) is an outer contour of the at least one object 24 (Fig. 2) except for converting the outer contour into a numerical code. Saund discloses converting the outer contour into a numerical code (Col. 4, Line 60 to Col. 5, Line 8). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to utilize in Schutze'129/Schutze'291/Ganser, converting the outer contour into a numerical code, as taught by Saund, for the purpose of mapping the boundary contour on the perimeter of the object.
- 36. Regarding claim 42, Schutze'129/Schutze'291/Ganser disclose substantially all features of the claimed invention as set forth above **except** the numerical code is a Freeman code or a chain code. Saund discloses the numerical code is a chain code (Col. 4, Line 60 to Col. 5, Line 8).
- 37. Claim 43 is rejected under 35 U.S.C. 103(a) as being unpatentable over Schutze et al. (US Pat. 5,998,129) in view of Schutze (US Pub. 2004/0252291) and further view of Sakai et al. (US Pub. 2001/0053245) (previously cited).
- 38. Regarding claim 43, Schutze'129/Schutze'291 disclose substantially all features of the claimed invention as set forth above except for performing an automatic shading

Art Unit: 3742

correction including: recording an empty image without a specimen; storing the empty image as a shading correction image; and applying an offset correction to the captured electronic image using the shading correction image. Sakai et al. discloses an automatic shading correction (Par. 39) including: recording an empty image without a specimen (par. 53); storing the empty image as a shading correction image; and applying an offset correction to the captured electronic image using the shading correction image (Par. 52). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to utilize in Schutze'129/Schutze'291, performing an automatic shading correction including: recording an empty image without a specimen; storing the empty image as a shading correction image; and applying an offset correction to the captured electronic image using the shading correction image, as taught by Sakai et al., for the purpose of calibrating the images recording apparatus.

- 39. Claim 44 is rejected under 35 U.S.C. 103(a) as being unpatentable over Schutze et al. (US Pat. 5,998,129) in view of Schutze (US Pub. 2004/0252291), Schachter et al. (XP-0002269476) and further view of Schuetze (WO 03036266) (previously cited).
- 40. Regarding claim 44, Schutze'129/Schutze'291/Schachter disclose substantially all features of the claimed invention as set forth above except for removing a specific unwanted object of the at least one object from the binary image using image analysis morphology, the unwanted object being not designated for microdissection. Schuetze discloses removing a specific unwanted object of the at least one object from the binary image using image analysis morphology, the unwanted object being not designated for

Art Unit: 3742

microdissection (Page 2, Par. 5-6; "The selection ... selection of the desired objects"; English translation). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to utilize in Schutze'129/Schutze'291/Schachter, removing a specific unwanted object of the at least one object from the binary image using image analysis morphology, the unwanted object being not designated for microdissection, as taught by Schuetze, for the purpose of cutting out only the wanted object that have mapping into the cutting line.

## Response to Arguments

41. Applicant's arguments filed 2/18/2010 have been fully considered but they are not persuasive. The applicant argues "It is respectfully submitted that Schutze fails to teach "automatically calculating a contour of the at least one object" and "automatically defining, based on the calculated contour, a nominal cutting line around the at least one object to be cut out" as is now recited in claim 25 of the present application. In contrast, the object outline of Schutze is produced by a microscope slide moved either by hand (controlled by a mouse or joystick) or which travels automatically under the control of a computer program in accordance with a predetermined pattern in an essentially circular or spiral shape around the chosen object 10. See Schutze, column 7, lines 9-15. The object outline in Schutze therefore is either done by hand or follows a predetermined pattern. No automatic calculation of a contour of the at least one object is made, and an automatically calculated contour is not used to automatically define a nominal cutting line, as required by amended claim 25" recited in lines 6-15, page 9 of the Remarks. The examiner disagrees. Regarding to the new limitations that

Art Unit: 3742

is amended in claim 25, Schutze (US Pat. 5,998,129) discloses automatically calculating a contour of the at least one object (Col. 7, Lines 9-13) and automatically defining, based on the calculated contour, a nominal cutting line around the at least one object to be cut out (Col. 7, Lines 13-15).

42. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HUNG NGUYEN whose telephone number is (571)270-7828. The examiner can normally be reached on Monday-Friday, 9M-6PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tu Hoang can be reached on (571)272-4780. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3742

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/HUNG NGUYEN/ Examiner, Art Unit 3742 5/10/2010 /TU B HOANG/ Supervisory Patent Examiner, Art Unit 3742